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ATTORNEY DOCKET NO: GLADS-001A
TITLE: METHOD AND SYSTEM FOR AUTOMATED TRADING OF FINANCIAL
INSTRUMENTS

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JUL 18 2005

PTO/SB/21 (09-04)

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TRANSMITTAL FORM

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Total Number of Pages in This Submission

13

Application Number

09/884,303

Filing Date

06/19/2001

First Named Inventor

Garry D. Gladstone

Art Unit

3624

Examiner Name

Ella Colbert

Attorney Docket Number

GLADS-001A

ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☒

Amendment/Reply

☒

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/
Incomplete Application

☐

Reply to Missing Parts
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a
Provisional Application

☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

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CD, Number of CD(s) _____

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Landscape Table on CD

Remarks

☐

After Allowance Communication to TC

☐

Appeal Communication to Board
of Appeals and Interferences

☐

Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify
below):

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

STETINA BRUNDA GARRED & BRUCKER

Signature

Eric L. Tanezaki

Printed name

Eric L. Tanezaki

Date

07/15/2005

Reg. No.

40,196

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Application No.: 09/884,303
Response to Office Action of 05/19/2005
Attorney Docket: GLADS-001A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Garry D. Gladstone)	Confirmation No.	4813
)		
Serial No.:	09/884,303)	Art Unit:	3624
)		
Filed:	06/19/2001)	Examiner:	Ella Colbert
)		
For:	METHOD AND SYSTEM FOR)		
	AUTOMATED TRADING OF)		
	FINANCIAL INSTRUMENTS)		

RESPONSE TO OFFICE ACTION

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action of May 19, 2005, please amend the above-identified Application and consider the Remarks as follows: